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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/734,749	12/11/2003	Haoren Zhuang	14580-045001

CONFIRMATION NO. 9525

## FORMALITIES LETTER



\*OC000000013548775\*

20985  
FISH & RICHARDSON, PC  
12390 EL CAMINO REAL  
SAN DIEGO, CA 92130-2081

Date Mailed: 08/17/2004



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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10/25/2004 HBELETE1 00000008 10734749

790.00 DP  
130.00 DP  
01 FC:1001  
02 FC:1051

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Haoren Zhuang  
Serial No.: 10/734,749  
Filed : December 11, 2003  
Title : A METHOD FOR PRODUCING A HARD MASK IN A CAPACITOR  
DEVICE AND A HARD MASK FOR USE IN A CAPACITOR DEVICE

Art Unit: 1756

**Mail Stop Missing Parts**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of  
Application under 37 CFR §1.53(b) mailed August 17, 2004 (a copy  
of which is enclosed), applicant as a large entity submits  
herewith the following:

- ☒ Payment of the basic filing fee of \$790;
- ☒ Payment of the surcharge of \$130 for late filing of  
the basic filing fee and/or declaration;
- ☒ A check in the total amount of \$920 is attached; and
- ☒ A Combined Declaration and Power of Attorney in  
compliance with 37 CFR §1.63 (2 pages).

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being  
deposited with the United States Postal Service as first class mail with  
sufficient postage on the date indicated below and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 18, 2004

Date of Deposit

Signature

Jennifer H. Payne

Typed or Printed Name of Person Signing Certificate

Applicant : Haoren Zhuang  
Serial No.: 10/734,749  
Filed : December 11, 2003

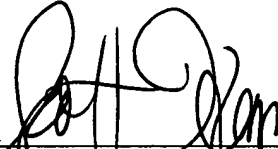
Attorney Docket No. 14580-045001/FP2078



It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 18, 2004

  
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Scott C. Harris  
Reg. No. 32,030

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